

12/28/01
PTO

UTILITY PATENT APPLICATION TRANSMITTAL <small>Only for new nonprovisional applications under 37 CFR 1.53(b)</small>		Attorney Docket No : V637-02461 US
		First Inventor or Application Identifier Ralph H. JOHNSON
		Title: CURRENT CONFINEMENT, CAPACITANCE REDUCTION AND ISOLATION OF VCSELs USING DEEP ELEMENTAL TRAPS

j1036 U.S. PRO
10/028303
12/28/01

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)				ACCOMPANYING APPLICATION PARTS			
				6. <input type="checkbox"/>	Assignment Papers (cover sheet & document(s))		
2. <input checked="" type="checkbox"/> Specification Total Pages 18				7. <input type="checkbox"/>	37 C.F.R. §3.73(b) Statement	<input type="checkbox"/>	Power of Attorney (when there is an assignee)
				8. <input type="checkbox"/>	English Translation Document (if applicable)		
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 2				9. <input checked="" type="checkbox"/>	Information Disclosure Statement (IDS)/PTO-1449	<input checked="" type="checkbox"/>	Copies of IDS Citations
				10. <input type="checkbox"/>	Preliminary Amendment		
4. <input type="checkbox"/> Oath or Declaration Total Pages				11. <input checked="" type="checkbox"/>	White Advance Serial No. Postcard		
a. <input type="checkbox"/> Newly executed (original or copy)				12. <input type="checkbox"/>	Small Entity Statement(s)	<input type="checkbox"/>	Statement filed in prior application. Status still proper and desired.
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 15 completed)				13. <input type="checkbox"/>	Certified Copy of Priority Document(s) (if foreign priority is claimed)		
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).				14. <input type="checkbox"/>	Other:		
5. <input type="checkbox"/> Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.							Check for \$ 0.00

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application no.:
Prior application information:		Examiner:	Group Art Unit:

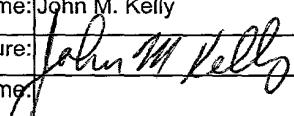
16. Amend the specification by inserting before the first line the sentence:

 This application is a Continuation Division Continuation-in-part (CIP)

of application Serial No. Filed on

 This application claims priority of provisional application Serial No. Filed**17. CORRESPONDENCE ADDRESS**

Andrew A. Abeyta
HONEYWELL INTERNATIONAL INC.
101 Columbia Road
POB 2245
Morristown, NJ 07962
Telephone No.: (602) 313-3345
Facsimile No.: (602) 313-4559

Name: John M. Kelly	Registration No.: 33,920
Signature: 	Date: December 28, 2001
Name:	Registration No.:

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FEE TRANSMITTAL For FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT \$758.00

COMPLETE IF KNOWN

Application No.	T.B.D.
Filing Date	December 28, 2001
First Named Inventor	Ralph H. JOHNSON
Group Art Unit	T.B.D.
Examiner Name	T.B.D.
Attorney Docket No.	V637-02461 US

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-1125

Deposit Account Name Honeywell International Inc

Charge any Additional Fee Required
Under 37 CFR §§1.16 & 1.172. Payment Enclosed:
 Check Money Order Other

FEE CALCULATION

4. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	160	214	80
SUBTOTAL (1)			\$740.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,250
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	400	216	200
117	920	217	460
118	1,440	218	720
128	1,960	228	980
119	320	219	160
120	320	220	160
121	280	221	140
138	1,510	138	1,150
140	110	240	55
141	1,280	241	640
142	1,280	242	640
143	460	243	230
144	620	244	310
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
Other fee (specify)			
* Reduced by Basic Filing Fee Paid			SUBTOTAL (3) \$0.00

2. EXTRA CLAIM FEES

Total Claims	-20 =	Extra Claims	Fee from below	= Fee Paid
21	-20 =	-1-	18.00	-18-
3	- 3 =	0	84.00	0-
Multiple Dependent Claims		-0-	280.00	-0-
SUBTOTAL (2)				\$18.00

SUBMITTED BY

Name (Print / Type)	Andrew A. Abeyta	Registration No (Attorney/Agent)	39,582	Complete (if applicable)
Signature	<i>John M Kelly 33-920, for Andrew Abeyta</i>			Date 12/28/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.

DC:91121.1